



On the Hypothesis that Animals Are Automata, and Its History

T.H. Huxley

The first half of the seventeenth century is one of the great epochs of biological science. For though suggestions and indications of the conceptions which took definite shape, at that time, are to be met with in works of earlier date, they are little more than the shadows which coming truth casts forward; men's knowledge was neither extensive enough, nor exact enough, to show them the solid body of fact which threw these shadows.

But, in the seventeenth century, the idea that the physical processes of life are capable of being explained in the same way as other physical phenomena, and, therefore, that the living body is a mechanism, was proved to be true for certain classes of vital actions; and, having thus taken firm root in irrefragable fact, this conception has not only successfully repelled every assault which has been made upon it, but has steadily grown in force and extent of application, until it is now the expressed or implied fundamental proposition of the whole doctrine of scientific Physiology.

If we ask to whom mankind are indebted for this great service, the general voice will name William Harvey. For, by his discovery of the circulation of the blood in the higher animals, by his explanation of the nature of the mechanism by which that circulation is effected, and by his no less remarkable, though less known, investigations of the process of development, Harvey solidly laid the foundations of all those physical explanations of the functions of sustentation and reproduction which modern physiologists have achieved.

But the living body is not only sustained and reproduced: it adjusts itself to external and internal changes; it moves and feels. The attempt to reduce the endless complexities of animal motion and feeling to law and order is, at least, as important a part of the task of the physiologist as the elucidation of what are sometimes called the vegetative processes. Harvey did not make this attempt himself; but the influence of his work upon the man who did make it is patent and unquestionable. This man was René Descartes, who, though by many years [201] Harvey's junior, died before him; and yet in his short span of fifty-four years, took an undisputed place, not only among the chiefs of philosophy, but amongst the greatest and most original of mathematicians; while, in my belief, he is no less certainly entitled to the rank of a great and original physiologist; inasmuch as he did for the physiology of motion and sensation that which Harvey had done for the circulation of the blood, and opened up that road to the mechanical theory of these processes, which has been followed by all his successors....

Thus far, the prepositions respecting the physiology of the nervous system which are stated by Descartes have simply been more clearly defined, more fully illustrated, and, for the most part, demonstrated, by modern physiological research. But there remains a doctrine to which Descartes attached great weight, so that full acceptance of it became a sort of note of

a thoroughgoing Cartesian, but which, nevertheless, is so opposed to ordinary prepossessions that it attained more general notoriety, and gave rise to more discussion, than almost any other Cartesian hypothesis. It is the doctrine that brute animals are mere machines or automata, devoid not only of reason, but of any kind of consciousness, which is stated briefly in the “Discours de la Méthode,” and more fully in the “Réponses aux Quatrièmes Objections,” and in the correspondence with Henry More.

The process of reasoning by which Descartes arrived at this startling conclusion is well shown in the following passage of the “Réponses:”–

“But as regards the souls of beasts, although this is not the place for considering them, and though, without a general exposition of physics, I can say no more on this subject than I have already said in the fifth part of my Treatise on Method; yet, I will further state, here, that it appears to me to be a very remarkable circumstance that no movement can take place, either in the bodies of beasts, or even in our own, if these bodies have not in themselves all the organs and instruments by means of which the very same movements would be accomplished in a machine. So that, even in us, the spirit, or the soul, does not directly move the limbs, but only determines the course of that very subtle liquid which is called the animal spirits, which, running continually from the heart by the brain into the muscles, is the cause of all the movements of our limbs, and often may cause many different motions, one as easily as the other.

“And it does not even always exert this determination; for among the movements which take place in us, there are many which do not depend on the mind at all, such as the beating of the heart, the digestion of food, the nutrition, the respiration of those who sleep; and even in those who are awake, walking, singing, and other similar actions, when they are performed without the mind thinking about them. And, when one who falls from a height throws his hands forward to save his head, it is in virtue of no ratiocination that he performs this action; it does not depend upon his mind, but takes place merely because his senses being affected by the present danger, some change arises in his brain which determines the animal spirits to pass thence into the nerves, in such a manner as is required to produce this motion, in the same way as in a machine, and without the mind being able to hinder it. Now since we observe this in ourselves, why should we be so much astonished if the light reflected from the body of a wolf into the eye of a sheep has the same force to excite in it the motion of flight?

“After having observed this, if we wish to learn by reasoning, whether certain movements of beasts are comparable to those which are effected in us by the operation of the mind, or, on the contrary, to those which depend only on the animal spirits and the disposition of the organs, it is necessary to consider the difference between the two, which I have explained in the fifth part of the Discourse on Method (for I do not think that any [218] others are discoverable), and then it will easily be seen, that all the actions of beasts are similar only to those which we perform without the help of our minds. For which reason we shall be forced to conclude, that we know of the existence in them of no other principle of motion than the disposition of their organs and the continual affluence of animal spirits produced by the heat of the heart, which attenuates and subtilises the blood; and, at the same time, we shall acknowledge that we have had no reason for assuming any other principle, except that, not having distinguished these two principles of motion, and seeing that the one, which depends only on the animal spirits and the organs, exists in beasts as well as in us, we have hastily concluded that the other, which depends on mind and on thought, was also possessed by them.”

Descartes' line of argument is perfectly clear. He starts from reflex action in man, from the unquestionable fact that, in ourselves, co-ordinate, purposive, actions may take place, without the intervention of consciousness or volition, or even contrary to the latter. As actions of a certain degree of complexity are brought about by mere mechanism, why may not actions of still greater complexity be the result of a more refined mechanism? What proof is there that brutes are other than a superior race of marionettes, which eat without pleasure, cry without pain, desire nothing, know nothing, and only simulate intelligence as a bee simulates a mathematician?...

...Suppose that only the anterior division of the brain—so much of it as lies in front of the “optic lobes”—is removed. If that operation is performed quickly and skilfully, the frog may be kept in a state of full bodily vigour for months, or it may be for years; but it will sit unmoved. It sees nothing: it hears nothing. It will starve sooner than feed itself, although food put into its mouth is swallowed. On irritation, it jumps or walks; if thrown into the water it swims. If it be put on the hand, it sits there, crouched, perfectly quiet, and would sit there for ever. If the hand be inclined very gently and slowly, so that the frog would naturally tend to slip off, the creature's fore paws are shifted on to the edge of the hand, until he can just prevent himself from falling. If the turning of the hand be slowly continued, he [225] mounts up with great care and deliberation, putting first one leg forward and then another, until he balances himself with perfect precision upon the edge; and if the turning of the hand is continued, he goes through the needful set of muscular operations, until he comes to be seated in security, upon the back of the hand. The doing of all this requires a delicacy of coordination, and a precision of adjustment of the muscular apparatus of the body, which are only comparable to those of a rope-dancer. To the ordinary influences of light, the frog, deprived of its cerebral hemispheres, appears to be blind. Nevertheless, if the animal be put upon a table, with a book at some little distance between it and the light, and the skin of the hinder part of its body is then irritated, it will jump forward, avoiding the book by passing to the right or left of it. Therefore, although the frog appears to have no sensation of light, visible objects act through its brain upon the motor mechanism of its body.

It is obvious, that had Descartes been acquainted with these remarkable results of modern research, they would have furnished him with far more powerful arguments than he possessed in favour of his view of the automatism of brutes. The [226] habits of a frog, leading its natural life, involve such simple adaptations to surrounding conditions, that the machinery which is competent to do so much without the intervention of consciousness, might well do all. And this argument is vastly strengthened by what has been learned in recent times of the marvellously complex operations which are performed mechanically, and to all appearance without consciousness, by men, when, in consequence of injury or disease, they are reduced to a condition more or less comparable to that of a frog, in which the anterior part of the brain has been removed. ...

If such facts as these had come under the knowledge of Descartes, would they not have formed an apt commentary upon that remarkable passage in the “*Traité de l'Homme*,” which I have quoted elsewhere, but which is worth repetition?—

“All the functions which I have attributed to this machine (the body), as the digestion of food, the pulsation of the heart and of the arteries; the nutrition and the growth of the limbs; respiration, wakefulness, and sleep; the reception of light, sounds, odours, flavours, heat, and such like qualities, in the organs of the external senses; the impression of the ideas of these in the organ of common sensation and in the imagination; [236] the retention or the impression of these ideas on the memory; the internal movements

of the appetites and the passions; and lastly the external movements of all the limbs, which follow so aptly, as well the action of the objects which are presented to the senses, as the impressions which meet in the memory, that they imitate as nearly as possible those of a real man; I desire, I say, that you should consider that these functions in the machine naturally proceed from the mere arrangement of its organs, neither more nor less than do the movements of a clock, or other automaton, from that of its weights and its wheels; so that, so far as these are concerned, it is not necessary to conceive any other vegetative or sensitive soul, nor any other principle of motion or of life, than the blood and the spirits agitated by the fire which burns continually in the heart, and which is no wise essentially different from all the fires which exist in inanimate bodies.”

And would Descartes not have been justified in asking why we need deny that animals are machines, when men, in a state of unconsciousness, perform, mechanically, actions as complicated and as seemingly rational as those of any animals?

But though I do not think that Descartes' hypothesis can be positively refuted, I am not disposed to accept it. The doctrine of continuity is too well established for it to be permissible to me to suppose that any complex natural phenomenon comes into existence suddenly, and without being preceded by simpler modifications; and very strong arguments would be needed to prove that such complex phenomena as those of consciousness, first make their appearance in man. We know, that, in the individual man, consciousness grows from a dim glimmer to its full light, whether [237] we consider the infant advancing in years, or the adult emerging from slumber and swoon. We know, further, that the lower animals possess, though less developed, that part of the brain which we have every reason to believe to be the organ of consciousness in man; and as, in other cases, function and organ are proportional, so we have a right to conclude it is with the brain; and that the brutes, though they may not possess our intensity of consciousness, and though, from the absence of language, they can have no trains of thoughts, but only trains of feelings, yet have a consciousness which, more or less distinctly, foreshadows our own.

I confess that, in view of the struggle for existence which goes on in the animal world, and of the frightful quantity of pain with which it must be accompanied, I should be glad if the probabilities were in favour of Descartes' hypothesis; but, on the other hand, considering the terrible practical consequences to domestic animals which might ensue from any error on our part, it is as well to err on the right side, if we err at all, and deal with them as weaker brethren, who are bound, like the rest of us, to pay their toll for living, and suffer what is needful for the general good. As Hartley finely says, “We seem to be in the place of God to them;” and we may justly follow the precedents He sets in nature in our dealings with them.

But though we may see reason to disagree with [238] Descartes' hypothesis that brutes are unconscious machines, it does not follow that he was wrong in regarding them as automata. They may be more or less conscious, sensitive, automata; and the view that they are such conscious machines is that which is implicitly, or explicitly, adopted by most persons. When we speak of the actions of the lower animals being guided by instinct and not by reason, what we really mean is that, though they feel as we do, yet their actions are the results of their physical organisation. We believe, in short, that they are machines, one part of which (the nervous system) not only sets the rest in motion, and co-ordinates its movements in relation with changes in surrounding bodies, but is provided with special apparatus, the function of which is the calling into existence of those states of consciousness which are termed sensations, emotions, and ideas. I believe that this generally accepted view is the best expression of the

facts at present known.

It is experimentally demonstrable—any one who cares to run a pin into himself may perform a sufficient demonstration of the fact—that a mode of motion of the nervous system is the immediate antecedent of a state of consciousness. All but the adherents of “Occasionalism,” or of the doctrine of “Pre-established Harmony” (if any such now exist), must admit that we have as much reason for regarding the mode of motion of the [239] nervous system as the cause of the state of consciousness, as we have for regarding any event as the cause of another. How the one phenomenon causes the other we know, as much or as little, as in any other case of causation; but we have as much right to believe that the sensation is an effect of the molecular change, as we have to believe that motion is an effect of impact; and there is as much propriety in saying that the brain evolves sensation, as there is in saying that an iron rod, when hammered, evolves heat.

As I have endeavoured to show, we are justified in supposing that something analogous to what happens in ourselves takes place in the brutes, and that the affections of their sensory nerves give rise to molecular changes in the brain, which again give rise to, or evolve, the corresponding states of consciousness. Nor can there be any reasonable doubt that the emotions of brutes, and such ideas as they possess, are similarly dependent upon molecular brain changes. Each sensory impression leaves behind a record in the structure of the brain—an “ideogenous” molecule, so to speak, which is competent, under certain conditions, to reproduce, in a fainter condition, the state of consciousness which corresponds with that sensory impression; and it is these “ideogenous molecules” which are the physical basis of memory.

It may be assumed, then, that molecular changes in the brain are the causes of all the [240] states of consciousness of brutes. Is there any evidence that these states of consciousness may, conversely, cause those molecular changes which give rise to muscular motion? I see no such evidence. The frog walks, hops, swims, and goes through his gymnastic performances quite as well without consciousness, and consequently without volition, as with it; and, if a frog, in his natural state, possesses anything corresponding with what we call volition, there is no reason to think that it is anything but a concomitant of the molecular changes in the brain which form part of the series involved in the production of motion.

The consciousness of brutes would appear to be related to the mechanism of their body simply as a collateral product of its working, and to be as completely without any power of modifying that working as the steam-whistle which accompanies the work of a locomotive engine is without influence upon its machinery. Their volition, if they have any, is an emotion indicative of physical changes, not a cause of such changes.

This conception of the relations of states of consciousness with molecular changes in the brain—of *psychoses* with *neuroses*—does not prevent us from ascribing free will to brutes. For an agent is free when there is nothing to prevent him from doing that which he desires to do. If a greyhound chases a hare, he is a free agent, because his action is in entire accordance with his strong [241] desire to catch the hare; while so long as he is held back by the leash he is not free, being prevented by external force from following his inclination. And the ascription of freedom to the greyhound under the former circumstances is by no means inconsistent with the other aspect of the facts of the case—that he is a machine impelled to the chase, and caused, at the same time, to have the desire to catch the game by the impression which the rays of light proceeding from the hare make upon his eyes, and through them upon his brain.

Much ingenious argument has at various times been bestowed upon the question: How is it possible to imagine that volition, which is a state of consciousness, and, as such, has not the slightest community of nature with matter in motion, can act upon the moving matter of which the body is composed, as it is assumed to do in voluntary acts? But if, as is here suggested,

the voluntary acts of brutes—or, in other words, the acts which they desire to perform—are as purely mechanical as the rest of their actions, and are simply accompanied by the state of consciousness called volition, the inquiry, so far as they are concerned, becomes superfluous. Their volitions do not enter into the chain of causation of their actions at all.

The hypothesis that brutes are conscious automata is perfectly consistent with any view [242] that may be held respecting the often discussed and curious question whether they have souls or not; and, if they have souls, whether those souls are immortal or not. It is obviously harmonious with the most literal adherence to the text of Scripture concerning “the beast that perisheth”; but it is not inconsistent with the amiable conviction ascribed by Pope to his “untutored savage,” that when he passes to the happy hunting-grounds in the sky, “his faithful dog shall bear him company.” If the brutes have consciousness and no souls, then it is clear that, in them, consciousness is a direct function of material changes; while, if they possess immaterial subjects of consciousness, or souls, then, as consciousness is brought into existence only as the consequence of molecular motion of the brain, it follows that it is an indirect product of material changes. The soul stands related to the body as the bell of a clock to the works, and consciousness answers to the sound which the bell gives out when it is struck.

Thus far I have strictly confined myself to the problem with which I proposed to deal at starting—the automatism of brutes. The question is, I believe, a perfectly open one, and I feel happy in running no risk of either Papal or Presbyterian condemnation for the views which I have ventured to put forward. And there are so very few interesting questions which one is, at present, allowed to [243] think out scientifically—to go as far as reason leads, and stop where evidence comes to an end—without speedily being deafened by the tattoo of “the drum ecclesiastic”—that I have luxuriated in my rare freedom, and would now willingly bring this disquisition to an end if I could hope that other people would go no farther. Unfortunately, past experience debars me from entertaining any such hope, even if

“ . . . that drum’s discordant sound
Parading round and round and round,”

were not, at present, as audible to me as it was to the mild poet who ventured to express his hatred of drums in general, in that well-known couplet.

It will be said, that I mean that the conclusions deduced from the study of the brutes are applicable to man, and that the logical consequences of such application are fatalism, materialism, and atheism—whereupon the drums will beat the *pas de charge*.

One does not do battle with drummers; but I venture to offer a few remarks for the calm consideration of thoughtful persons, untrammelled by foregone conclusions, unpledged to shore-up tottering dogmas, and anxious only to know the true bearings of the case.

It is quite true that, to the best of my judgment, the argumentation which applies to brutes [244] holds equally good of men; and, therefore, that all states of consciousness in us, as in them, are immediately caused by molecular changes of the brain-substance. It seems to me that in men, as in brutes, there is no proof that any state of consciousness is the cause of change in the motion of the matter of the organism. If these positions are well based, it follows that our mental conditions are simply the symbols in consciousness of the changes which takes place automatically in the organism; and that, to take an extreme illustration, the feeling we call volition is not the cause of a voluntary act, but the symbol of that state of the brain which is the immediate cause of that act. We are conscious automata, endowed with free will in the only intelligible sense of that much-abused term—inasmuch as in many respects we are able to do as we like—but none the less parts of the great series of causes and effects which, in unbroken continuity, composes that which is, and has been, and shall be—the sum of existence.

As to the logical consequences of this conviction of mine, I may be permitted to remark that logical consequences are the scarecrows of fools and the beacons of wise men. The only question which any wise man can ask himself, and which any honest man will ask himself, is whether a doctrine is true or false. Consequences will take care of themselves; at most their importance can only [245] justify us in testing with extra care the reasoning process from which they result.

So that if the view I have taken did really and logically lead to fatalism, materialism, and atheism, I should profess myself a fatalist, materialist, and atheist; and I should look upon those who, while they believed in my honesty of purpose and intellectual competency, should raise a hue and cry against me, as people who by their own admission preferred lying to truth, and whose opinions therefore were unworthy of the smallest attention.

But, as I have endeavoured to explain on other occasions, I really have no claim to rank myself among fatalistic, materialistic, or atheistic philosophers. Not among fatalists, for I take the conception of necessity to have a logical, and not a physical foundation; not among materialists, for I am utterly incapable of conceiving the existence of matter if there is no mind in which to picture that existence; not among atheists, for the problem of the ultimate cause of existence is one which seems to me to be hopelessly out of reach of my poor powers. Of all the senseless babble I have ever had occasion to read, the demonstrations of these philosophers who undertake to tell us all about the nature of God would be the worst, if they were not surpassed by the still greater absurdities of the philosophers who try to prove that there is no God....

T.H. Huxley. Collected Essays. Vol. 1. London: Macmillan, 1898.

© SophiaOmni, 2012. The specific electronic form of this text is copyright. Permission is granted to print out copies for educational purposes and for personal use only. No permission is granted for commercial use.